

01/24/01

01-26-01

PTO/SB05 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Please type a plus sign (+) inside this box ☐ [+]

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 1991-00301
First Inventor Bea Calo
Title Global Trading System
Express Mail Label No. EL497840576US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Page 47]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 18]
5. Oath and Declaration
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document *(if applicable)*
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

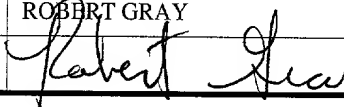
☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) of prior application No.:
Prior application information: Examiner Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☐ Customer Number of Bar Code Label or ☒ Correspondence address below

Name	ROBERT GRAY				
Address	CONLEY, ROSE & TAYON, P. C.				
	P. O. Box 3267				
City	Houston	State	Texas	Zip Code	77253-3267
Country	U.S.A.	Telephone	(713) 238-8000	Fax	(713) 238-8008

Name (Print/Type)	ROBERT GRAY	Registration No. (Attorney/Agent)	41,798
Signature			Date

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01/24/01

JG971 U.S. PTO

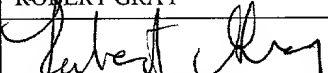
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FEE TRANSMITTAL for FY 2001 <i>Patent fees are subject to annual revision.</i>		Complete if Known	
		Application Number	
		Filing Date	
		First Named Inventor	Bea Calo
		Examiner Name	
		Group Art Unit	
TOTAL AMOUNT OF PAYMENT		Attorney Docket No.	1991-00301
\$ 1,480.00			

METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																																																												
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: Deposit Account Number: 03-2769 Deposit Account Name: Conley, Rose & Tayon, P.C. <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27	3. ADDITIONAL FEES																																																																																																																												
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other	<table border="1"><thead><tr><th>Large Entity Fee</th><th>Small Entity Fee</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105 130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td><td>\$</td></tr><tr><td>127 50</td><td>227 25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td>\$</td></tr><tr><td>139 130</td><td>139 130</td><td>Non-English specification</td><td>\$</td></tr><tr><td>147 2,520</td><td>147 2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td>\$</td></tr><tr><td>112 920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td><td>\$</td></tr><tr><td>113 1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td><td>\$</td></tr><tr><td>115 110</td><td>215 55</td><td>Extension for reply within first month</td><td>\$</td></tr><tr><td>116 390</td><td>216 195</td><td>Extension for reply within second month</td><td>\$</td></tr><tr><td>117 890</td><td>217 445</td><td>Extension for reply within third month</td><td>\$</td></tr><tr><td>118 1,390</td><td>218 695</td><td>Extension for reply within fourth month</td><td>\$</td></tr><tr><td>128 1,890</td><td>228 945</td><td>Extension for reply within fifth month</td><td>\$</td></tr><tr><td>119 310</td><td>219 155</td><td>Notice of Appeal</td><td>\$</td></tr><tr><td>120 310</td><td>220 155</td><td>Filing a brief in support of an appeal</td><td>\$</td></tr><tr><td>121 270</td><td>221 135</td><td>Request for oral hearing</td><td>\$</td></tr><tr><td>138 1,510</td><td>138 1,510</td><td>Petition to institute a public use proceeding</td><td>\$</td></tr><tr><td>140 110</td><td>240 55</td><td>Petition to revive - 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SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	ROBERT GRAY	Registration No. (Attorney/Agent)	41,798	Telephone	(713) 238-8000
Signature		Date	January 24, 2001		

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